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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
**PH01-00-04b**

In Re Application Of: **Thomas A. Strasser et al.**

Serial No.  
**10/099,891**

Filing Date  
**3/15/02**

Examiner  
**Unassigned**

Group Art Unit  
**2633**

Title: **METHOD AND APPARATUS FOR PROVIDING GAIN EQUALIZATION TO AN OPTICAL SIGNAL IN AN OPTICAL COMMUNICATION SYSTEM**

Address to:  
**Assistant Commissioner for Patents  
Washington, D.C. 20231**

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

**OR**

☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
PH01-00-04b

In Re Application: Thomas A. Strasser et al.

Serial No.

10/099,891

Filing Date

5/5/02

Examiner

Unassigned

Group Art Unit

2633

**METHOD AND APPARATUS FOR PROVIDING GAIN EQUALIZATION TO AN OPTICAL  
SIGNAL IN AN OPTICAL COMMUNICATION SYSTEM**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☐ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below. A duplicate copy of this sheet is enclosed.
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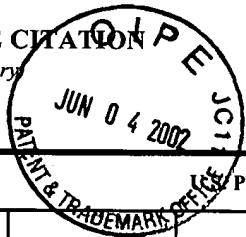
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CC:

# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)



Docket Number (Optional) <b>PH01-00-04b</b>		Application Number <b>10/099,891</b>
Applicant(s) <b>Thomas A. Strasser et al.</b>		
Filing Date <b>03/15/02</b>	Group Art Unit <b>2633</b>	

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	1	5,583,683	12/10/96	Scobey	359	127	
	2	6,021,237	2/1/00	Kim et al.	385	28	
	3	6,028,689	2/22/00	Michalicek et al.	359	224	
	4	6,151,157	11/21/00	Ball et al.	359	341	
	5	6,212,315	4/3/01	Doerr	385	31	
	6	09/571,833		Wagener et al.			5/16/00
	7	09/691,812		Wagener et al.			10/19/00

## FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.